



FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT &amp; TRADEMARK OFFICE

SHEET 1 OF 1  
(REV. 7-80)**LIST OF REFERENCES CITED BY APPLICANT**

(Use Several Sheets if Necessary)

DOCKET NO.: 3191/01049 SERIAL NO: 09/766,677  
APPLICANT: Ludwig Winkelmann FILING DATE: January 24, 2001  
CONFIRMATION NO:

**U.S. PATENT DOCUMENTS**

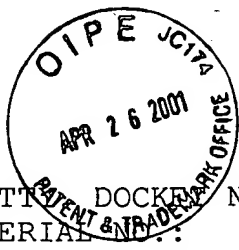
<u>*EXAMINER</u> <u>INITIALS</u>	<u>DOCUMENT</u> <u>NUMBER</u>	<u>DATE</u>	<u>NAME</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>FILING DATE</u>
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**FOREIGN PATENT DOCUMENTS**

<u>*EXAMINER</u> <u>INITIALS</u>	<u>DOCUMENT</u> <u>NUMBER</u>	<u>DATE</u>	<u>COUNTRY</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>TRANSLATION</u> <u>YES</u> <u>NO</u>
1. <i>SS</i>	FR 2 757 590 A 1	6/26/98	France			
2. <i>SS</i>	DE 196 09 472 A1	9/11/97	Germany			

**OTHER REFERENCES****(INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)**\*EXAMINER  
INITIALSEXAMINER: *SS*DATE CONSIDERED: 9/30/03

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**JACOBSON, PRICE, HOLMAN & STERN, PLLC**400 SEVENTH STREET, N.W.  
WASHINGTON, D.C. 20004-2201

## LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

 ATTORNEY DOCKET NO.: P66217US0  
 SERIAL NO.: 09/766,677  
 APPLICANT(S): Achim WIXFORTH

 GROUP ART UNIT: \_\_\_\_\_  
 FILING DATE: January 23, 2001

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**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (If Appropriate)
SS	AA 5,674,742	10/7/1997	Northrup et al.	435	286.5	
SS	AB 6,010,316	1/4/2000	Haller et al.	417	322	
	AC					
	AD					
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	AH					
	AI					
	AJ					
	AK					

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**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES) (NO)
	AL					:
	AM					:
	AN					:
	AO					:
	AP					:
	AQ					:
	AR					:

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**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

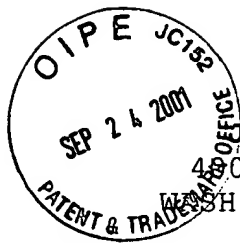
AS	Fu et al., A microfabricated fluorescence-activated cell sorter, Nature Biotechnology, Vol. 17, 1999, p1109-1111
AT	Müller, Lab-on-a-Chip-Technologie- Revolution im (bio-) analytischen Labor, Laborwelt, 2000, p36-38
AU	

EXAMINER

DATE CONSIDERED

9/30/03

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## LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.: P66217US0  
SERIAL NO.: 09/766,677  
APPLICANT(S): Achim WIXFORTH

GROUP ART UNIT: 1723  
FILING DATE: January 23, 2001

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (If Appropriate)
AA	5,006,749	4/9/91	White	310	323	
AB	5,674,742	10/7/97	Northrup et al.	435	286.5	
AC	6,010,316	1/4/00	Haller et al.	417	323	
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES) (NO)
AL 06 050974	2/25/94	Japan			abstract
AM					:
AN					:
AO					:
AP					:
AQ					:
AR					:

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AS Uchida et al.; Investigation of Acoustic Streaming Excited by  
Surface Waves; 1995 IEEE ULTRASONICS SYMPOSIUM; pp 1081-1084

AT

AU

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ATTY. DOCKET NO.: P66217US0  
SERIAL NO.: 09/766,677  
APPLICANT(S): WIXFORTH

GROUP ART UNIT: 1723  
FILING DATE: January 23, 2001  
TODAY'S DATE: June 23, 2003

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## U. S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (If Appropriate)
<u>SS</u>	AA <u>4,280,823</u>	<u>7-28-81</u>	<u>SZONNTAGH</u>			
	AB					
	AC					
	AD					

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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES) (NO)
<u>AN</u>	AE <u>58-059613</u>	<u>4-8-83</u>	<u>JAPAN</u>			<u>Abstract</u>
	AF <u>10-327590</u>	<u>12-8-98</u>	<u>JAPAN</u>			<u>Abstract</u>
	AG <u>WO 99/03684</u>	<u>1-28-99</u>	<u>WIPO</u>			
	AH <u>DE19736641</u>	<u>3-11-99</u>	<u>GERMANY</u>			
	AI					
	AJ					
	AK					

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## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

SS AL / Liquid Streaming and Droplet Formation Caused by Leaky Rayleigh Waves,  
S.Shiohawa et al, 1989 Ultrasonics Symposium, 1989 IEEE, pp. 643-646

EXAMINER

DATE CONSIDERED

7/20/03

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